

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/735,976
Filing Date	December 15, 2003
First Named Inventor	Jim Freid
Art Unit	3733
Examiner Name	Philogene, Pedro
Attorney Docket Number	ZIMM1230-2

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/P.P./	C1	Patent Cooperation Treaty, International Preliminary Examination Report issued in International Application No. PCT/US01/00724 on 01/24/2001, Spinal Concepts, Inc., 4 pages	
/P.P./	C2	Patent Cooperation Treaty, International Search Report issued in issued in International Application No. PCT/US01/00724 on 03/27/2001, Spinal Concepts, Inc., 5 pages	
/P.P./	C3	Patent Cooperation Treaty, Written Opinion issued in International Application No. PCT/US01/00724 on 09/06/2001, Spinal Concepts, Inc., 4 pages	
/P.P./	C4	Canadian Intellectual Property Office, Office Action issued in Canadian Application No. 2,396,535 on 05/16/2007, Spinal Concepts, Inc., 3 pages	

Examiner Signature	/Pedro Philogene/	Date Considered	09/03/2009
--------------------	-------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.